Form approved: OMB No. 3206-0007 NSN 7540-00-634-4036 86-111

## **Questionnaire for National Security Positions**

Follow instructions fully or we cannot process your form. Be sure to sign and date the certification statement on Page 9 and the release on Page 10. If you have any questions, call the office that gave you the form.

Purpose of this Form

The U.S. Government conducts background investigations and reinvestigations to establish that military personnel, applicants for or incumbents in national security positions, either employed by the Government or working for Government contractors, licensees, certificate holders, and grantees, are eligible for a required security clearance. Information from this form is used primarily as the basis for investigation for access to classified information or special nuclear information or material. Complete this form only after a conditional offer of employment has been made for a position requiring a security clearance.

Giving us the information we ask for is voluntary. However, we may not be able to complete your investigation, or complete it in a timely manner, if you don't give us each item of information we request. This may affect your placement or security clearance prospects.

Authority to Request this Information

Depending upon the purpose of your investigation, the U.S. Government is authorized to ask for this information under Executive Orders 10450, 10865, 12333, and 12356; sections 3301 and 9101 of title 5, U.S. Code; sections 2165 and 2201 of title 42, U.S. Code; sections 781 to 887 of title 50, U.S. Code; and parts 5, 732, and 736 of Title 5, Code of Federal Regulations.

Your Social Security number is needed to keep records accurate, because other people may have the same name and birth date. Executive Order 9397 also asks Federal agencies to use this number to help identify individuals in agency records.

The Investigative Process

Background investigations for national security positions are conducted to develop information to show whether you are reliable, trustworthy, of good conduct and character, and loyal to the United States. The information that you provide on this form is confirmed during the investigation. Investigation may extend beyond the time covered by this form when necessary to resolve issues. Your current employer must be contacted as part of the investigation, even if you have previously indicated on applications or other forms that you do not want this.

In addition to the questions on this form, inquiry also is made about a person's adherence to security requirements, honesty and integrity, vulnerability to exploitation or coercion, falsification, misrepresentation, and any other behavior, activities, or associations that tend to show the person is not reliable, trustworthy, or loyal.

### Your Personal Interview

Some investigations will include an interview with you as a normal part of the investigative process. This provides you the opportunity to update, clarify, and explain information on your form more completely, which often helps to complete your investigation faster. It is important that the interview be conducted as soon as possible after you are contacted. Postponements will delay the processing of your investigation, and declining to be interviewed may result in your investigation being delayed or canceled.

You will be asked to bring identification with your picture on it, such as a valid State driver's license, to the interview. There are other documents you may be asked to bring to verify your identity as well. These include documentation of any legal name change, Social Security card, and/or birth certificate.

You may also be asked to bring documents about information you provided on the form or other matters requiring specific attention. These matters include alien registration, delinquent loans or taxes, bankruptcy, judgments, liens, or other financial obligations, agreements involving child custody or support, alimony or property settlements, arrests, convictions, probation, and/or parole.

## Organization of this Form

This form has two parts. Part 1 asks for background information, including where you have lived, gone to school, and worked. Part 2 asks about your activities and such matters as firings from a job, criminal history record, use of illegal drugs, and abuse of alcohol.

In answering all questions on this form, keep in mind that your answers are considered together with the information obtained in the investigation to reach an appropriate adjudication.

**Instructions for Completing this Form** 

- 1. Follow the instructions given to you by the person who gave you the form and any other clarifying instructions furnished by that person to assist you in completion of the form. Find out how many copies of the form you are to turn in. You must sign and date, in black ink, the original and each copy you submit. You should retain a copy of the completed form for your records.
- 2. Type or legibly print your answers in black ink (if your form is not legible, it will not be accepted). You may also be asked to submit your form in an approved electronic format.
- 3. All questions on this form must be answered. If no response is necessary or applicable, indicate this on the form (for example, enter "None" or "N/A"). If you find that you cannot report an exact date, approximate or estimate the date to the best of your ability and indicate this by marking "APPROX." or "EST."
- 4. Any changes that you make to this form after you sign it must be initialed and dated by you. Under certain limited circumstances, agencies may modify the form consistent with your intent.
- 5. You must use the State codes (abbreviations) listed on the back of this page when you fill out this form. Do not abbreviate the names of cities or foreign countries.
- 6. The 5-digit postal ZIP codes are needed to speed the processing of your investigation. The office that provided the form will assist you in completing the ZIP codes.
- All telephone numbers must include area codes.
- 8. All dates provided on this form must be in Month/Day/Year or Month/Year format. Use numbers (1-12) to indicate months. For example, June 8, 1978, should be shown as 6/8/78.
- 9. Whenever "City (Country)" is shown in an address block, also provide in that block the name of the country when the address is outside the United States.
- 10. If you need additional space to list your residences or employments/self-employments/unemployments or education, you should use a continuation sheet, SF 86A. If additional space is needed to answer other items, use a blank piece of paper. Each blank piece of paper you use must contain your name and Social Security Number at the top of the page.

#### Final Determination on Your Eligibility

Final determination on your eligibility for access to classified information is the responsibility of the Federal agency that requested your investigation. You may be provided the opportunity personally to explain, refute, or clarify any information before a final decision is made.

#### Penalties for Inaccurate or False Statements

The U.S. Criminal Code (title 18, section 1001) provides that knowingly falsifying or concealing a material fact is a felony which may result in fines of up to \$10,000, and/or 5 years imprisonment, or both. In addition, Federal agencies generally fire, do not grant a security clearance, or disqualify individuals who have materially and deliberately falsified these forms, and this remains a part of the permanent record for future placements. Because the position for which you are being considered is a sensitive one, your trustworthiness is a very important consideration in deciding your eligibility for a security clearance.

Your prospects of placement or security clearance are better if you answer all questions truthfully and completely. You will have adequate opportunity to explain any information you give us on the form and to make your comments part of the record.

#### **Disclosure of Information**

The information you give us is for the purpose of investigating you for a national security position; we will protect it from unauthorized disclosure. The collection, maintenance, and disclosure of background investigative information is governed by the Privacy Act. The agency which requested the investigation and the agency which conducted the investigation have published notices in the Federal Register describing the systems of records in which your records will be maintained. You may obtain copies of the relevant notices from the person who gave you this form. The information on this form, and information we collect during an investigation may be disclosed without your consent as permitted by the Privacy Act (5 USC 552a(b)) and as follows:

#### PRIVACY ACT ROUTINE USES

- 1. To the Department of Justice when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government, is a party to litigation or has interest in such litigation, and by careful review, the agency determines that the records are both relevant and necessary to the litigation and the use of such records by the Department of Justice is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.
- 2. To a court or adjudicative body in a proceeding when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government, is a party to litigation or has interest in such litigation, and by careful review, the agency determines that the records are both relevant and necessary to the litigation and the use of such records is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.
- 3. Except as noted in Question 24, when a record on its face, or in conjunction with other records, indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute, particular program statute, regulation, rule, or order issued pursuant thereto, the relevant records may be disclosed to the appropriate Federal, foreign, State, local, tribal, or other public authority responsible for enforcing, investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation, or order.
- 4. To any source or potential source from which information is requested in the course of an investigation concerning the hiring or retention of an employee or other personnel action, or the issuing or retention of a security clearance, contract, grant, license, or other benefit, to the extent necessary to identify the individual, inform the source of the nature and purpose of the investigation, and to identify the type of information requested.

- 5. To a Federal, State, local, foreign, tribal, or other public authority the fact that this system of records contains information relevant to the retention of an employee, or the retention of a security clearance, contract, license, grant, or other benefit other agency or licensing organization may then make a request supported by written consent of the individual for the entire record if it so chooses. No disclosure will be made unless the information has been determined to be sufficiently reliable to support a referral to another office within the agency or to another Federal agency for criminal, civil, administrative, personnel, or regulatory action.
- To contractors, grantees, experts, consultants, or volunteers when necessary to perform a function or service related to this record for which they have been engaged. Such recipients shall be required to comply with the Privacy Act of 1974, as amended.
- To the news media or the general public, factual information the disclosure of which would be in the public interest and which would not constitute an unwarranted invasion of personal privacy.
- 8. To a Federal, State, or local agency, or other appropriate entities or individuals, or through established liaison channels to selected foreign governments, in order to enable an intelligence agency to carry out its responsibilities under the National Security Act of 1947 as amended, the CIA Act of 1949 as amended, Executive Order 12333 or any successor order, applicable national security directives, or classified implementing procedures approved by the Attorney General and promulgated pursuant to such statutes, orders or directives.
- To a Member of Congress or to a Congressional staff member in response to an inquiry of the Congressional office made at the written request of the constituent about whom the record is maintained.
- To the National Archives and Records Administration for records management inspections conducted under 44 USC 2904 and 2906.
- 11. To the Office of Management and Budget when necessary to the review of private relief legislation.

			STA	TE CODES (ABBI	REVIATION	ONS)			
Alabama Alaska	AL AK	Hawaii Idaho	ĦI ID	Massachusetts Michigan	MA Mi	New Mexico New York	NM NY	South Dakota Tennessee	SD TN
Arizona	AZ	Illinois	IL	Minnesota	MN	North Carolina	NC	Texas	TX
Arkansas	AR	Indiana	IN	Mississippi	MS	North Dakota	ND	Utah	UT
California	CA	lowa	IA	Missouri	MO	Ohio	OH	Vermont	VT
Colorado	CO	Kansas	KS	Montana	MT	Oklahoma	OK	Virginia	VA
Connecticut	CT	Kentucky	KY	Nebraska	NE	Oregon	OR	Washington	WA
Delaware	DE	Louisiana	LA	Nevada	NV	Pennsylvania	PA	West Virginia	WV
Florida	FL.	Maine	ME	New Hampshire	NH	Rhode Island	RI	Wisconsin	WI
Georgia	GA	Maryland	MD	New Jersey	NJ	South Carolina	SC	Wyoming	WY
American Samoa Trust Territory	AS TT	Dist. of Columbia Virgin Islands	DC VI	Guam	GU	Northern Marianas	CM	Puerto Rico	PR

## PUBLIC BURDEN INFORMATION

Public burden reporting for this collection of information is estimated to average 90 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Reports and Forms Management Officer, U.S. Office of Personnel Management, 1900 E Street, N.W., Room CHP-500, Washington, D.C. 20415. Do not send your completed form to this address.

Standard Form 86 (EG) Revised September 1995 U.S. Office of Personnel Management 5 CFR Parts 731, 732, and 736

# QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved: OMB No. 3206-0007 NSN 7540-00-634-4036 86-111

Part 1	Investig	ating Agency Us	e Only	/				Co	odes						Case N	lumber					
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SOI		M Location of Security Folder		None At SOI NPI		Other	Addres	SS											ZIP	Code	
N OPAC-ALC Number					g Data and Case Numb																
P Requesting	Name ar	nd Title					Signati	ure						Tele	ephone	Numbe	er		Da	te	
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### WHERE YOU HAVE LIVED

List the places where you have lived, beginning with the most recent (#I) and working back 7 years. All periods must be accounted for in your list. Be sure to indicate the actual physical location of your residence: do not use a post office box as an address, do not list a permanent address when you were actually living at a school address, etc. Be sure to specify your location as closely as possible: for example, do not list only your base or ship, list your barracks number or home port. You may omit temporary military duty locations under 90 days (list your permanent address instead), and you should use your APO/FPO address if you lived overseas.

For any address in the last 5 years, list a person who knew you at that address, and who preferably still lives in that area (do not list people for residences completely outside this 5-year period, and do not list your spouse, former spouses, or other relatives). Also for addresses in the last five years, if the address is "General Delivery," a Rural or Star Route, or may be difficult to locate, provide directions for locating the residence on an attached continuation sheet.

Month/Year Month/Year	Street Address		Apt. #	City (Country)			State	ZIP Code
#1 To Present								
Name of Person Who Knows You	Street Address	Apt. #	City (Country)		State	ZIP Code	Telephoi	ne Number
							( )	
Month/Year Month/Year	Street Address		Apt. #	City (Country)		-	State	ZIP Code
#2 To								
Name of Person Who Knew You	Street Address	Apt. #	City (Country)		State	ZIP Code	Telephor	ne Number
							( )	
Month/Year Month/Year	Street Address		Apt. #	City (Country)			State	ZIP Code
#3 To								
Name of Person Who Knew You	Street Address	Apt. #	City (Country)		State	ZIP Code	Telephor	ne Number
							( )	
Month/Year Month/Year	Street Address		Apt. #	City (Country)			State	ZIP Code
#4 To								
Name of Person Who Knew You	Street Address	Apt. #	City (Country)		State	ZIP Code	Telepho	ne Number
							( )	
Month/Year Month/Year	Street Address		Apt. #	City (Country)			State	ZIP Code
#5 TO								
Name of Person Who Knew You	Street Address	Apt. #	City (Country)		State	ZIP Code	Telepho	ne Number
							( )	

#### I WHERE YOU WENT TO SCHOOL

List the schools you have attended, beyond Junior High School, **beginning with the most recent (#11) and working back 7 years.** List College or University degrees and the dates they were received. If all of your education occurred more than 7 years ago, list your most recent education beyond high school, no matter when that education occurred.

Use one of the following codes in the "Code" block:

I - High School

2 - College/University/Military College

3 - Vocational/Technical/Trade School

For schools you attended in the past 3 years, list a person who knew you at school (an instructor, student, etc.). Do not list people for education completely outside this 3-year period.

For correspondence schools and extension classes, provide the address where the records are maintained.

Month/Year Month/Year	Code	Name of School			Degree/Diploma	/Other			Month/Year Awarded
#1 TO									
Street Address and City (Country) of So	hool	-						State	ZIP Code
Name of Person Who Knew You	Street A	ddress	Apt. #	City (Country)		State	ZIP C	Code	Telephone Number
Month/Year Month/Year	Code	Name of School			Degree/Diploma	/Other			Month/Year Awarded
#2 <sub>TO</sub>									
Street Address and City (Country) of So	chool							State	ZIP Code
Name of Person Who Knew You	Street A	ddress	Apt. #	City (Country)		State	ZIP C	Code	Telephone Number
									( )
Month/Year Month/Year	Code	Name of School			Degree/Diploma/	/Other			Month/Year Awarded
#3 To									
Street Address and City (Country) of So	hool	-			-			State	ZIP Code
Name of Person Who Knew You	Street A	ddress	Apt. #	City (Country)		State	ZIP C	Code	Telephone Number
									( )
							_		
Enter your Social Security	Numbe	r before going to the r	next page					7	

#### YOUR EMPLOYMENT ACTIVITIES

List your employment activities, beginning with the present (#1) and working back 7 years. You should list all full-time work, part-time work, military service, temporary military duty locations over 90 days, self-employment, other paid work and all periods of unemployment. The entire 7-year period must be accounted for without breaks, but you need not list employments before your 16th birthday. EXCEPTION: Show all Federal civilian service, whether it occurred within the last 7 years or not.

- Code. Use one of the codes listed below to identify the type of employment:

  - 1 Active military duty stations
     2 National Guard/Reserve
     3 U.S.P.H.S. Commissioned Corps
     4 Other Federal employment
- 5 State Government (Non-Federal
- employment)
  6 Self-employment (Include business name and/or name of person who can verify)
- 7 Unemployment (include name of person who can verify) 8 Federal Contractor (List Contractor, not Federal agency)
  - 9 Other
- Employer/Verifier Name. List the business name of your employer or the name of the person who can verify your self-employment or unemployment in this block. If military service is being listed, include your duty location or home port here as well as your branch of service. You should provide separate listings to reflect changes in your military duty locations or home ports.
- Previous Periods of Activity. Complete these lines if you worked for an employer on more than one occasion at the same location. After entering the most recent period of employment in the initial numbered block, provide previous periods of employment at the same location on the additional lines provided. For example, if you worked at XY Plumbing in Denver, CO, during 3 separate periods of time, you would enter dates and information concerning the most recent period of employment first, and provide dates, position titles, and supervisors for the two previous periods of employment on the lines below that information.

Month/	Year Month/Year	Code	Employer/Verifler Name/Military	Duty Location	Your P	osition Title/Militar	y Rank				
#1	To Present										
Employer's/\	/erifler's Street Address		•	City (Country)	State	ZIP Code	Telephone Number				
							( )				
Street Addre	ss of Job Location (if diffe	erent than I	Employer's Address)	City (Country)	State	ZIP Code	Telephone Number				
Supervisor's	Name & Street Address (	if different	than Job Location)	City (Country)	State	ZIP Code	Telephone Number				
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	Month/Year Mor	nth/Year	Position Title		Supervisor						
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(Block #1)	Month/Year Mor	nth/Year	Position Title		Supervisor						
	TO										
Month/	Year Month/Year	Code	Employer/Verifier Name/Military	Duty Location	Your P	osition Title/Military	y Rank				
#2	To										
Employer's/\	/erifier's Street Address	•	•	City (Country)	State	ZIP Code	Telephone Number				
							( )				
Street Addre	ss of Job Location (if diffe	erent than I	Employer's Address)	City (Country)	State	ZIP Code	Telephone Number				
Supervisor's	Name & Street Address (	if different	than Job Location)	City (Country)	State	ZIP Code	Telephone Number				
			,								
	Month/Year Mor	nth/Year	Position Title		Supervisor						
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(Block #2)	Month/Year Mor	nth/Year	Position Title		Supervisor						
	To										
	Year Month/Year	Code	Employer/Verifier Name/Military	Duty Location	Your P	osition Title/Militar	y Rank				
#3	то										
Employer's/	Verifiers Street Address		•	City (Country)	State	ZIP Code	Telephone Number				
							( )				
Street Addre	ss of Job Location (if diffe	erent than E	Employer's Address)	City (Country)	State	ZIP Code	Telephone Number				
							( )				
Supervisor's	Name & Street Address (	if different	than Job Location)	City (Country)	State	ZIP Code	Telephone Number				
·				, (****)			(				
	Month/Year Mo	onth/Year	Position Title		Supervisor						
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ACTIVITY	To	11.04									
(Block #3)	Month/Year Mo	onth/Year	Position Title		Supervisor						
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Enter you	ur Social Security	Numbe	r before going to the nex	kt page		$\rightarrow$ $\Box$					

YOUR EMPL	LOYMENT ACTIVITIES	(CONTINUE	ED)						
Month/	Year Month/Year	Code	Employer/Verifier Name/Military	Duty Location	Y	our Po	sition Title/Militar	ry Rank	
#4	To								
Employer's/\	/erifier's Street Address	•		City (Country)	S	State	ZIP Code	Telephone Nu	umber
								( )	
Street Addres	ss of Job Location (if diff	ferent than E	Employer's Address)	City (Country)	S	State	ZIP Code	Telephone Nu	umber
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Supervisor's	Name & Street Address	(if different	than Job Location)	City (Country)	S	State	ZIP Code	Telephone Nu	umber
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	Month/Year Mo	onth/Year	Position Title		Supervisor				
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	TO	Code	Employer/Verifier Name/Military	Duty Location		/our Po	sition Title/Milita	ny Rank	
	ear Month/Year	Code	Employer/ verifier Name/Military	Duty Location		i oui i o	Sition Title/Willita	I Y I CALIK	
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Emblover s/V	reniler's Street Address			City (Country)		State	ZIP Code	Telephone Nu	umber
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Street Addres	ss of Job Location (if diff	terent than E	imployees Address)	City (Country)		State	ZIP Code	Telephone Nu	umber
								( )	
Supervisor's	Name & Street Address	(if different	than Job Location)	City (Country)	S	State	ZIP Code	Telephone Nu	umber
								( )	
	Month/Year M	fonth/Year	Position Title		Supervisor	_			
PREVIOUS	TO								
PERIODS	Month/Year Mo	onth/Year	Position Title		Supervisor	1			
OF ACTIVITY	То								
(Block #5)	Month/Year Mo	onth/Year	Position Title		Supervisor	r			
	To								
Month/	Year Month/Year	Code	Employer/Verifier Name/Military	Duty Location	Y	our Po	sition Title/Milita	ry Rank	
#6	То								
Employer's/\	/erifier's Street Address			City (Country)	S	State	ZIP Code	Telephone Nu	umber
								( )	
Street Addres	ss of Job Location (if diff	ferent than E	Employer's Address)	City (Country)	5	State	ZIP Code	Telephone No	umber
								( )	
Supervisors	Name & Street Address	(if different	than Job Location)	City (Country)	5	State	ZIP Code	Telephone Nu	umber
	Month/Year Mo	onth/Year	Position Title		Supervisor	,			
PREVIOUS	то				-				
PERIODS		onth/Year	Position Title		Supervisor	,			
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(Block #0)	Month/Year M	ionin/ real							
		<u></u>							
List the	ree people who know yo	VELL ou well and l	live in the United States. They she	ould be good friends, peers, o	colleagues, c	ollege r	oommates, etc.,	whose combine	d
associa	ation with you covers as ere on this form.	s well as pos	ssible the last 7 years. Do not list	your spouse, former spouses,	, or other rela	atives, a	ind try not to list	anyone who is li	sted
Name	lere on uns torm.			Dates Known	I T	Telepho	ne Number		
#1				Month/Year Month/	rear	D.	ay ,		
Home or Wo	rk Address			To	City (Countr		ght ——	State ZIP C	ode
						,,			
Name				Dates Known	Т	Telenho	ne Number		
#2				Month/Year Month/Y		Da Da	ay (		
Home or Wo	rk Address			ТО	City (Countr		ght \	State ZIP C	nde
.101110 01 110					Oity (Couliti			Ciaic Zii C	
				Dates Known		Tol	ephone Number	,	
Name						16			
Name #3				Month/Year Month/	Year	D:	ay (		
#3	urk Addross			Month/Year Month/		D: Ni			odo.
	rk Address			Month/Year Month/	Year City (Countr	D: Ni	ay (	State ZIP C	ode
#3	rk Address			Month/Year Month/		D: Ni	ay (		ode

	YOUR SPOUSE Mark one box to show your current n	marital statu	ıc and	provide infor	mat	ion about your	coolic	o(s) in itoms a and/	or h					
	I - Never married	naniai siail	is and	3 - Sep		,	spous	e(s) in items a. and/	_	- Divorced				
	2 - Married			4 - Leg	gally	/ Separated			6	- Widowed				
Cı	irrent Spouse Complete the followi	ng about y	our c			nly.	DI	f Diath (in alcala	4		11.0.)	0		
	Full Name			Date of Birth			Place	e of Birth (include co	untr	y if outside the	U.S.)	Social Secu	rity in	umber
	Other Names Used (Specify maiden	name, nar	mes by	y other marria	iges	s, etc., and sho	w date	es used for each nar	ne)		Country(ies)	of Citizenship		
	Date Married Pla	ace Married	d (Inclu	ude country if	out	side the U.S.)							Sta	te
	If Separated, Date of Separation			If Legally Se	par	ated, Where is	the R	ecord Located? City	(Co	ountry)			Sta	te
				., .										
	Address of Current Spouse, if different	ent than you	ur curr	ent address (	Stre	eet, city, and co	untry	if outside the U.S.)			State	ZIP Code		
	rmer Spouse(s). Complete the follow	ving about	vour f	ormor enouge	2/c)	uso blank sho	otc if	noodod						
-	Full Name	virig about	your i	Date of Birth		, use blatik stie		e of Birth (include co	untr	y if outside the	US.)		Sta	te
	Country(ies) of Citizenship			Date Married	t		Place	e Married (include co	ountr	ry if outside the	U.S.)		Sta	te
	Check one, Then Give Date	onth/Day/Y	'ear	If Divorced	Wh	ere is the Reco	rd I o	cated? City (Country	/)				Sta	te
	Married Divorced	wj. 1						, (550.111)	,					
	Address of Former Spouse (Street, o	city, and co	untry i	f outside the l	J.S.	.)			-	State ZIP	Code	Telephone N	lumb	er
												( )		
	, ,	er parent (adopted a child ner only foreigr	lso) n natio	9 - Si 10 - Si 11 - Si 12 - Ha	ster tept teps alf-t	r prother sister brother listed in 1 - 16	with w	13 - Half-sister 14 - Father-in-law 15 - Mother-in-law 16 - Guardian hom you or your spi	ouse	17 - ( 18 - <i>A</i> 19 - <i>A</i> e are bound by	Other Relative  Associate* Adult Currently affection, obli	Living With You	e and	
Full N	lame (If deceased, check box on the /eft before entering name)	Code		te of Birth th/Day/Year		Country of Birt	th	Country(ies) of Citizenship		Current Stree	et Address and Living Relati	City (country) ves	of	State
		I												
		2												
Ent	er your Social Security Nu	mber be	efore	going to	th	e next page	9				>			
										/				

If your mother, father, sister, brother, child, or current spouse or person with whom you have a spouse-like relationship is a U.S. citizen by other than birth, or an alien residing in the U.S. provide the nature of the individual's relationship to you (Spouse Spouse-like, Mother, etc.), and the individual's name and date of birth on the first line (this information is *needed to* pair it accurately with information in items 13 and 14).

On the second line, provide the individual's naturalization certificate or alien registration number and use one of the document codes below to identify proof of citizenship status. Provide additional information on that line as requested.

- Naturalization Certificate: Provide the date issued and the location where the person was naturalized (Court, City and State).
   Citizenship Certificate: Provide the date and location issued (City and State).

 $\label{eq:power_power} \mbox{Do } \underline{\mbox{you have any foreign property, business connections, or financial interests?}$ 

3 - Alien Registration: Provide the date and place where the person entered the U.S. (City and State).

	4 - Other: Provide an explana	ation in the "A	additional Info	ormation" block.									
	Association	Nan	ne								Date of Birth (M	Ionth/Day/Y	ear)
#1													
Cei	rtificate/Registration #	Doci	ument Code	Additional Inforr	mation								
	Association	Nan	ne								Date of Birth (M	Ionth/Day/Y	ear)
#2													
Cer	rtificate/Registration #	Doci	ument Code	Additional Inforr	nation						•		
. YOU	R MILITARY HISTORY	-										Yes	No
Ha	ve you served in the Unite	ed States mi	litary?										
Н	ave you served in the Unite	ed States Me	erchant Mari	ne?									
*( *( *( *(	all of your military service be kward. If you had a break in Code. Use one of the codes I 1 -Air Force 2 - Army 3 D/E. Mark "O" block for Office Status. "X" the appropriate b an 'X': use the two-letter cod Country. If your service was	service, each listed below to - Navy 4 - er or "E" bloc lock for the s e for the state with other the	o identify you Marine Co k for Enlisted tatus of your e to mark the an the U.S. A	eriod should be list orps 5 - Coast d. service during the block. Armed Forces, ide	sted. ice: Guar ne time	d that y	6 - Merchar ou served.	nt Marine If your servi	7 - Nationa ice was in the	al Guard	·	, ,	nd work
N	Nonth/Year Month/Year	Code	Service/C	ertificate #	0	E	Active	Sta Active	tus I Inactive	N-4'I	C	ountry	
	То						Active	Reserve	Reserve	National Guard			
	То												
OUR F	ORFIGN ACTIVITIES								•			Yes	No

In the last 7 years, have you had an active passport that was issued by a foreign government? If you answered "Yes" to a, b, c or d above, explain in the space below: provide inclusive dates, names of firms and/or governments involved, and an explanation of your

Month/Year Month/Year	Firm and/or Government	Explanation
То		
то		

## • FOREIGN COUNTRIES YOU HAVE VISITED

contacts.)

List foreign countries you have visited, except on travel under official Government orders, beginning with the most current (#I) and working back 7 years. (Travel as a dependent or contractor must be listed.)

Use one of these codes to indicate the purpose of your visit; I - Business 2 - Pleasure 3 - Education 4 - Other
Include short trips to Canada or Mexico. If you have lived near a border and have made short (one day or less) trips to the neighboring country, you do

Are you now or have you ever been employed by or acted as a consultant for a foreign government, firm, or agency?

not need to list each trip. Instead, provide the time period, the code, the country, and a note ("Many Short Trips").

Do not repeat travel covered in items 9, 1 0, or 11.

Have you ever had any contact with a foreign government, its establishments (embassies or consulates), or its representatives, whether inside or outside the U.S. other than on official U.S. Government business? (Does not include routine visa applications and border crossing

20 mot repeat mater coronea	Zo no report to the control of region in											
Month/Year Month/Year	Code	Country	Month/Year Month/Year	Code	Country							
#1 To			#3 то									
#2 To			#4TO									
	,	ave used Page 9, continuation sheets ose questions In the space to the right		the								
Enter your Social Security	Enter your Social Security Number before going to the next page											

Standard Form 86 Revised September 1995 Office of Personnel Management 5 CFR Parts 731, 732, and 736

## QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved: OMB No. 3206-0007 NSN 7540-00-634-4036 86-111

**NATIONAL SECURITY POSITIONS** OFFICIAL USE ONLY Part 2 No YOUR MILITARY RECORD Have you ever received other than an honorable discharge from the military? If "Yes," provide the date of discharge and type of discharge below. Month/Year Type of Discharge YOUR SELECTIVE SERVICE RECORD Yes No Are you a male born after December 31, 1959? If "No," go to 21. If "Yes," go to b. Have you registered with the Selective Service System? If "Yes," provide your registration number. If "No," show the reason for your legal Type of Discharge Registration Number No YOUR MEDICAL RECORD Yes In the last 7 years, have you consulted with a mental health professional (psychiatrist, psychologist, counselor, etc.) or have you consulted with another health care provider about a mental health related condition? If you answered "Yes," provide the dates of treatment and the name and address of the therapist or doctor below, unless the consultation(s) involved only marital, family, or grief counseling, not related to violence by you. Month/Year Month/Year Name/Address of Therapist or Doctor ZIP Code To To -Yes No YOUR EMPLOYMENT RECORD Has any of the following happened to you in the last 7 years? If "Yes," begin with the most recent occurrence and go backward, providing date fired quit, or left, and other information requested Use the following codes and explain the reason your employment was ended: 1 -Fired from a job 3 - Left a job by mutual agreement following allegations of misconduct 2 - Quit a job after being told  $\ensuremath{\text{4}}$  - Left a job by mutual agreement following allegations of under unfavorable circumstances you'd be fired unsatisfactory performance Month/Year Code State ZIP Code Specify Reason Employer's Name and Address (Include city/Country if outside U.S.) YOUR POLICE RECORD Yes No For this item, report information regardless of whether the record in your case has been "sealed" or otherwise stricken from the court record. The single exception to this requirement is for certain convictions under the Federal Controlled Substances Act for which the court issued an expungement order under the authority of 21 U.S.C. 844 or 18 U.S.C. 3607. Have you ever been charged with or convicted of any felony offense? (include those under Uniform Code of Military Justice) Have you ever been charged with or convicted of a firearms or explosives offense? Are there currently any charges pending against you for any criminal offense? Have you ever been charged with or convicted of any offense(s) related to alcohol or drugs? In the last 7 years, have you been subject to court martial or other disciplinary proceedings under the Uniform Code of Military Justice? (include non-judicial, Captain's mast, etc.) In the last 7 years, have you been arrested for, charged with, or convicted of any offense(s) not listed in response to a, b, c, d, ore above? (Leave out traffic fines of less than \$150 unless the violation was alcohol or drug related.) If you answered "Yes" to a, b, c, d, a or f above, explain below. Under "Offense," do not list specific penalty codes, list the actual offense or violation (for example, arson, theft, Month/Year Offense Action Taken ZIP Code Law Enforcement Authority/Court (include City and county/country if outside U.S.)

Enter your Social Security Number before going to the next page

• YOUR US	E OF IL	LEGAL [	ORUG	S AND DRUG A	CTIVITY								V	No
failure to	do so d	ould be g	rounds		mployment decis	sion or action a	gainst you, but	neith	er your tr		lly and truthfully, and yo		Yes	110
crack co	caine, h	ashish, na	rcotics	rears, whichever (opium, morphine etc.), or prescripti	e, codeine, heroi	you <u>illegally</u> us n, etc.), amphe	ed any controll tamines, depres	ed su ssant	ubstance ts (barbitu	, for exa	ample, marijuana, coca nethaqualone, tranquiliz	iine, zers,		
				olled substance va position directly				orose	ecutor, or	courtro	oom official; while posse	essing		
				nvolved in the ille allucinogen, or ca						shippin	g, receiving, or sale of	any		
If you an	swered	"Yes" to a	or b a	bove, provide the	date(s), identify t	he controlled s	ubstance(s) and	l/or p	rescriptio	n drugs	used, and the number of	of times ea	ach was u	sed.
Month/Y	ear Mo	nth/Year		Control	led Substance/P	rescription Dru	g Used				Number of Time	s Used		
	то _													
	то													
Your Use	e of Al	cohol	•										Yes	No
In the las	st 7 year ol abuse	s, has you or alcoho	ur use olism)?	of alcoholic bever	ages (such as lic	uor, beer, wine	e) resulted in any	y alco	ohol-relat	ed treat	ment or counseling (suc	ch as		
If you ar		"Yes," pro	ovide t	ne®dates of treatm	ent and the nam	ne and address	of the counseld	or or	doctor be	low. Do	not repeat information	reported	in respon	se to
Month/Yea	ar Mont	th/Year			N	lame/Address	of Counselor or	Doc	tor			State	ZIP	Code
	To _		4											
	то _													
YOUR INV	ESTIG	ATIONS	RECC	RD									Yes	No
Ha	as the U	nited State	es Gov	ernment ever invested information b	estigated your ba	ackground and	or granted you	a se	curity clea	arance?	If "Yes," use the codes	s that		
re	ceived,	enter "Oth	er" ag	ency code or clea	arance code, as	appropriate, a	nd "Don't know	" or "	'Don't rec	all" und	der the "Other Agency" check the "No" box.			
		tigating Ac			,		Codes for Sec			-				
1 - Defe 2 - State		artment ment		4 - FBI 5 - Treas	sury Department		0 - Not Requir I - Confidentia			- Top Se - Sensit	ecret ive Compartmented Inf	ormation	6- 7 -	L Other
		sonnel Ma	ınagen			Clearance	2 - Secret		5_	_Q			l Cl	earance
Month/Ye	ear	Agency		Other Age	ncy	Code	Month/Year	F	Agency		Other Agency			Code
											ve you ever been deba		Yes	No
		rnment en is not a re			e date of action	and agency. N	ote: An adminis	trativ	ve aowng	rade or	termination ofa security	У		
Month/	Year		De	partment or Agen	cy Taking Action		Month/Year			D	epartment or Agency T	aking Act	on	
								_						
YOUR F	INANCI	AL RECO	RD					•					Yes	No
				etition under any	•		,							
	In the last 7 years, have you had your wages garnished or had any property repossessed for any reason?  In the last 7 years, have you had alien placed against your property for failing to pay taxes or other debts?													
In the last 7	years, h	ave you h	nad an	y judgments aga	inst you that ha	ve not been p								
If you ar Month/Year		"Yes" to a		or d, provide the Amount		ested below: Occurred Und	er Nome//	\ddr.	ass of Co	urt or A	gency Handling Case	State	7IP	Code
world / I cal		.5 01 / 10110		Amount	TAING AUIUIT	Coodified Office	o. Ivaine/	wuit	UI UU	ait Of A	goney Handing Case	Sidio	_11	- 540
Enter you	r Soci	al Secu	rity I	Number befo	re going to	the next pa	ige							

YOUR F	NANCIAL DEL	INQUENCIES							Yes	No		
In the last 7 years, have you been over 180 days delinquent on any debt(s)?  Are you currently over 90 days delinquent on any debt(s)?												
Are you curr	ently over 90 da	ays delinquen	on any debt(s)?	1								
		a or b, provide	the information r	· · · · · · · · · · · · · · · · · · ·								
Incurred Month/Year	Satisfied Month/Year	Amount	Type of Loa and Acco	n or Obligation unt Number		Name/Address of Cr	editor or Obligee	State	ZIP (	Code		
• PUBLIC R	ECORD CIVIL	COURT ACT	ONS						Yes	No		
In the las	st 7 years, have	you been a pa	rty to any public r	ecord civil court act	tions not listed	elsewhere on this for	m?					
If you an	swered "Yes," p	rovide the info	mation about the	public record civil c	ourt action re	uested below.						
Month/Year	Nature of Ac	tion Re	sult of Action	Name of Parties	s Involved	Court (include City and	d county/country if outside U.S.)	State	ZIP (	Code		
YOUR AS	SOCIATION R	ECORD	L						Yes	No		
Have you ever been an officer or a member or made a contribution to an organization dedicated to the violent overthrow of the United States												
Have you ever been an officer or a member or made a contribution to an organization dedicated to the violent overthrow of the United States Government and which engages in illegal activities to that end, knowing that the organization engages in such activities with the specific intent to further such activities?												
further such activities?  Have you ever knowingly engaged in any acts or activities designed to overthrow the United States Government by force?												
					throw the Unit	ed States Governmen	t by force?					
If you an	swered "Yes" to	a or b, explain	in the space belo		nuation Sp	200						
Use the continu	uation sheet(s) (	SE86A) for add	litional answers to				answers to all other items and	any inforr	nation voi	ı		
would like to a		e is needed tha					h your name and Social Secu					
answer, identify	y the number of	tile item.										
				s, you should revie		rs to all questions to n	nake sure the form is complete	e and acc	urate, and	then		
g				ertification Tha		vers Are True						
made in go	My statements on this form, and any attachments to it, are true, complete, and correct to the best of my knowledge and belief and are nade in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both. (See section 1001 of title 18, United States Code).											
Signature (Sign	in ink)						Date					
Enter your	Social Sec	urity Numb	er before go	ing to the nex	t page		<b>─</b>  _					

Standard Form 86 Revised September 1995 U.S. Office of Personnel Management 5 CFR Parts 731, 732, and 736

Form approved: OMB No. 3206-0007 NSN 7540-00-634-4036

## UNITED STATES OF AMERICA

## **AUTHORIZATION FOR RELEASE OF INFORMATION**

Carefully read this authorization to release information about you, then sign and date it in ink.

I Authorize any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain any information relating to my activities from individuals, schools, residential management agents, employers, criminal justice agencies, credit bureaus, consumer reporting agencies, collection agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, criminal history record information, and financial and credit information. I authorize the Federal agency conducting my investigation to disclose the record of my background investigation to the requesting agency for the purpose of making a determination of suitability or eligibility for a security clearance.

**I Understand** that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will be needed, and I may be contacted for such a release at a later date. Where a separate release is requested for information relating to mental health treatment or counseling, the release will contain a list of the specific questions, relevant to the job description, which the doctor or therapist will be asked.

**I Further Authorize** any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, the Defense Investigative Service, and any other authorized Federal agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for access to classified information and/or for assignment to, or retention in a sensitive National Security position, in accordance with 5 U.S.C. 9101. I understand that I may request a copy of such records as may be available to me under the law.

**I Authorize** custodians of records and sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

**I Understand that** the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for five (5) years from the date signed or upon the termination of my affiliation with the Federal Government, whichever is sooner. Read, sign and date the release on the next page if you answered "Yes" to question 21.

Signature (Sign in ink)	Full Name (Type or Print Legibly)			Date Signed
Other Names Used				Social Security Number
Current Address (Street, City)		State	ZIP Code	Home Telephone Number
				(Include Area Code)
				( )

Page 10

Form approved: OMB No. 3206-0007 NSN 7540-00-634-4036 86-111

## UNITED STATES OF AMERICA

## **AUTHORIZATION FOR RELEASE OF MEDICAL INFORMATION**

Carefully read this authorization to release information about you, then sign and date it in ink.

## **Instructions for Completing this Release**

This is a release for the investigator to ask your health practitioner(s) the three questions below concerning your mental health consultations. Your signature will allow the practitioner(s) to answer only these questions.

I am seeking assignment to or retention in a position with the Federal government which requires access to classified national security information or special nuclear information or material. As part of the clearance process, **I hereby authorize** the investigator, special agent, or duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain the following information relating to my mental health consultations:

Does the person under investigation have a condition or treatment that could impair his/her judgment or reliability, particularly in the context of safeguarding classified national security information or special nuclear information or material?

If so, please describe the nature of the condition and the extent and duration of the impairment or treatment.

What is the prognosis?

I understand the information released pursuant to this release is for use by the Federal Government only for purposes provided in the Standard Form 86 and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for I year from the date signed or upon termination of my affiliation with the Federal Government, whichever is sooner.

Signature (Sign in ink)	Full Name (Type or Print Legibly)			Date Signed
Other Names Used				Social Security Number
Current Address (Street, City)		State	ZIP Code	Home Telephone Number
out the Address (officer, only)	İ	Jiale	Zii Gode	(Include Area Code)
				( )